

# “Assignment” 1

William Troiani

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## 1 Introduction

We define a category  $\mathcal{L}$  whose objects are the types of simply-typed lambda calculus, and whose morphisms are the terms of that calculus. The natural desiderata for such a category are that the fundamental algebraic structure of lambda calculus, function application and lambda abstraction, should be realised by categorical algebra.

We assume familiarity with simply-typed lambda calculus; some details are recalled in Appendix A or one can consult [2].

Following Church’s original presentation our lambda calculus only contains function types and  $\Phi_{\rightarrow}$  denotes the set of simple types. We write  $\Lambda_{\sigma}$  for the set of  $\alpha$ -equivalence classes of lambda terms of type  $\sigma$ , and we write  $=_{\beta\eta}$  for the equivalence relation generated by  $\beta\eta$  equivalence.

**Definition 1.1 (Category of lambda terms).** The category  $\mathcal{L}$  has objects

$$\text{ob}(\mathcal{L}) = \Phi_{\rightarrow} \cup \{\mathbf{1}\}$$

and morphisms given for types  $\sigma, \tau \in \Phi_{\rightarrow}$  by

$$\mathcal{L}(\sigma, \tau) = \Lambda_{\sigma \rightarrow \tau} / =_{\beta\eta}$$

$$\mathcal{L}(\mathbf{1}, \sigma) = \Lambda_{\sigma} / =_{\beta\eta}$$

$$\mathcal{L}(\sigma, \mathbf{1}) = \{\star\}$$

$$\mathcal{L}(\mathbf{1}, \mathbf{1}) = \{\star\},$$

where  $\star$  is a new symbol. For  $\sigma, \tau, \rho \in \Phi_{\rightarrow}$  the composition rule is the function

$$(1.1) \quad \mathcal{L}(\tau, \rho) \times \mathcal{L}(\sigma, \tau) \longrightarrow \mathcal{L}(\sigma, \rho)$$

$$(1.2) \quad (N, M) \longmapsto \lambda x^{\sigma} . (N(Mx))$$

where  $x \notin \text{FV}(N) \cup \text{FV}(M)$ . We write the composite as  $N \circ M$ . In the remaining special cases the composite is given by the rules

$$(1.3) \quad \mathcal{L}(\tau, \rho) \times \mathcal{L}(\mathbf{1}, \tau) \longrightarrow \mathcal{L}(\mathbf{1}, \rho), \quad N \circ M = (N M),$$

$$(1.4) \quad \mathcal{L}(\mathbf{1}, \rho) \times \mathcal{L}(\mathbf{1}, \mathbf{1}) \longrightarrow \mathcal{L}(\mathbf{1}, \rho), \quad N \circ \star = N,$$

$$(1.5) \quad \mathcal{L}(\mathbf{1}, \rho) \times \mathcal{L}(\sigma, \mathbf{1}) \longrightarrow \mathcal{L}(\sigma, \rho), \quad N \circ \star = \lambda t^{\sigma} . N,$$

where in the final rule  $t \notin \text{FV}(N)$ . Notice that these functions, although their rules depend on representatives of equivalence classes, are none-the-less well defined.

For terms  $M, N$  the expression  $M = N$  always means equality of terms (that is, up to  $\alpha$ -equivalence) and we write  $M =_{\beta\eta} N$  if we want to indicate equality up to  $\beta\eta$ -equivalence (for example as morphisms in the category  $\mathcal{L}$ ). Since the free variable set of a lambda term is not invariant under  $\beta$ -reduction, some care is necessary in defining the category  $\mathcal{L}_Q$  below. Let  $\rightarrow_\beta$  denote multi-step  $\beta$ -reduction [1, Definition 1.3.3].

**Lemma 1.2.** *If  $M \rightarrow_\beta N$  then  $\text{FV}(N) \subseteq \text{FV}(M)$ .*

**Definition 1.3.** Given a term  $M$  we define

$$\text{FV}_\beta(M) = \bigcap_{N =_\beta M} \text{FV}(N)$$

where the intersection is over all terms  $N$  which are  $\beta$ -equivalent to  $M$ .

Clearly if  $M =_\beta M'$  then  $\text{FV}_\beta(M) = \text{FV}_\beta(M')$ .

**Lemma 1.4.** *Given terms  $M : \sigma \rightarrow \rho$  and  $N : \sigma$  we have*

$$\text{FV}_\beta((MN)) \subseteq \text{FV}_\beta(M) \cup \text{FV}_\beta(N).$$

**Lemma 1.5.** *Given  $M : \sigma \rightarrow \rho$  and  $N : \tau \rightarrow \sigma$  we have*

$$(1.6) \quad \text{FV}_\beta(M \circ N) \subseteq \text{FV}_\beta(M) \cup \text{FV}_\beta(N).$$

Given a set  $Q$  of variables we write  $\Lambda_\sigma^Q$  for the set of lambda terms  $M$  of type  $\sigma$  with  $\text{FV}(M) \subseteq Q$ . Let  $=_{\beta\eta}$  denote the induced relation on this subset of  $\Lambda_\sigma$ .

**Lemma 1.6.** *For any type  $\sigma$  and set  $Q$  of variables the image of the injective map*

$$(1.7) \quad \Lambda_p^Q / =_{\beta\eta} \longrightarrow \Lambda_p / =_{\beta\eta}$$

*is the set of equivalence classes of terms  $M$  with  $\text{FV}_\beta(M) \subseteq Q$ .*

*Proof.* Since the simply-typed lambda calculus is strongly normalising [1, Theorem 3.5.1] and confluent [1, Theorem 3.6.3] there is a unique normal form  $\widehat{M}$  in the  $\beta$ -equivalence class of  $M$ , and  $\text{FV}_\beta(M) = \text{FV}(\widehat{M})$ . Hence if  $\text{FV}_\beta(M) \subseteq Q$  then  $\text{FV}(\widehat{M}) \subseteq Q$  and so  $M$  is in the image of (1.7).  $\square$

**Definition 1.7.** For a set of variables  $Q$  we define a subcategory  $\mathcal{L}_Q \subseteq \mathcal{L}$  by

$$\text{ob}(\mathcal{L}_Q) = \text{ob}(\mathcal{L}) = \Phi_{\rightarrow} \cup \{\mathbf{1}\}$$

and for types  $\sigma, \rho$

$$\begin{aligned}\mathcal{L}_Q(\sigma, \rho) &= \{M \in \mathcal{L}(\sigma, \rho) \mid \text{FV}_\beta(M) \subseteq Q\}, \\ \mathcal{L}_Q(\mathbf{1}, \sigma) &= \{M \in \mathcal{L}(\mathbf{1}, \sigma) \mid \text{FV}_\beta(M) \subseteq Q\}, \\ \mathcal{L}_Q(\sigma, \mathbf{1}) &= \mathcal{L}(\sigma, \mathbf{1}) = \{\star\}, \\ \mathcal{L}_Q(\mathbf{1}, \mathbf{1}) &= \mathcal{L}(\mathbf{1}, \mathbf{1}) = \{\star\}.\end{aligned}$$

Note that the last two lines have the same form using the convention that  $\text{FV}_\beta(\star) = \emptyset$ . We denote the inclusion functor by  $I_Q : \mathcal{L}_Q \longrightarrow \mathcal{L}$ . We write  $\mathcal{L}_{cl}$  for  $\mathcal{L}_Q$  when  $Q = \emptyset$  and call this the category of **closed** lambda terms.

We claim that the inclusion  $I_Q$  has a right adjoint, provided  $Q$  is **cofinite**, by which we mean that  $Q^c = Y \setminus Q$  is a finite set. Our convention is to use letters  $\mathfrak{p}, \mathfrak{q}, \dots$  for ordered sets of variables, with  $\mathfrak{q}$  always denoting an ordering on the finite unordered set of variables  $Q^c$ . With this notation, we next define a functor

$$\Gamma_{\mathfrak{q}} : \mathcal{L} \longrightarrow \mathcal{L}_Q$$

which we will prove is right adjoint to  $I_Q$ , with counit a natural transformation

$$\mathcal{U}^{\mathfrak{q}} : I_Q \circ \Gamma_{\mathfrak{q}} \longrightarrow 1_{\mathcal{L}}.$$

For the rest of this section let  $Q$  be a cofinite set of variables and  $\mathfrak{q} = (q_1 : \tau_1, \dots, t_k : q_k : \tau_k)$  an ordering of the complement. While the functor  $\Gamma_{\mathfrak{q}}$  and natural transformation  $\mathcal{U}^{\mathfrak{q}}$  depend on the choice of ordering, by the uniqueness of adjoints they are independent of the ordering up to unique natural isomorphism.

**Definition 1.8.** For a type  $\rho$  we define

$$\Gamma_{\mathfrak{q}}(\rho) = \tau_1 \rightarrow \tau_2 \rightarrow \dots \rightarrow \tau_k \rightarrow \rho$$

which is  $\rho$  if  $Q$  is empty. We set  $\Gamma_{\mathfrak{q}}(\mathbf{1}) = \mathbf{1}$ . For types  $\sigma, \tau$  we define a function

$$(1.8) \quad \Gamma_{\mathfrak{q}} : \mathcal{L}(\sigma, \tau) \longrightarrow \mathcal{L}_Q(\Gamma_{\mathfrak{q}}\sigma, \Gamma_{\mathfrak{q}}\tau)$$

on a term  $M : \sigma \rightarrow \tau$  by

$$(1.9) \quad \Gamma_{\mathfrak{q}}(M) = \lambda U^{\tau_1 \rightarrow \dots \rightarrow \tau_k \rightarrow \sigma} q_1^{\tau_1} \dots q_k^{\tau_k} . (M(\dots (U q_1) \dots q_k)) .$$

Since it is clear by inspection that  $\text{FV}_\beta(\Gamma_{\mathfrak{q}}M) \subseteq \text{FV}_\beta(M) \setminus Q^c$  we have  $\Gamma_{\mathfrak{q}}M \in \mathcal{L}_Q$ . In the special cases involving  $\mathbf{1}$  we define  $\Gamma_{\mathfrak{q}}$  by

$$\begin{aligned}\mathcal{L}(\sigma, \mathbf{1}) &\longrightarrow \mathcal{L}_Q(\Gamma_{\mathfrak{q}}\sigma, \Gamma_{\mathfrak{q}}\mathbf{1}) = \mathcal{L}_Q(\Gamma_{\mathfrak{q}}\sigma, \mathbf{1}), & \star &\mapsto \star \\ \mathcal{L}(\mathbf{1}, \rho) &\longrightarrow \mathcal{L}_Q(\Gamma_{\mathfrak{q}}\mathbf{1}, \Gamma_{\mathfrak{q}}\rho) = \mathcal{L}_Q(\mathbf{1}, \Gamma_{\mathfrak{q}}\rho), & M &\mapsto \lambda q_1^{\tau_1} \dots q_k^{\tau_k} . M \\ \mathcal{L}(\mathbf{1}, \mathbf{1}) &\longrightarrow \mathcal{L}_Q(\Gamma_{\mathfrak{q}}\mathbf{1}, \Gamma_{\mathfrak{q}}\mathbf{1}) = \mathcal{L}_Q(\mathbf{1}, \mathbf{1}) & \star &\mapsto \star.\end{aligned}$$

**Remark 1.9.** It is important in (1.9) that we lambda abstract over the particular variables  $q_i$  that belong to  $Q^c$ . By  $\alpha$ -equivalence the result of a lambda abstraction is independent of the variable we use *if* the term being lambda abstracted does not contain that variable as a free variable. However we are certainly interested in the case where  $M$  *does* contain the  $q_i$  as free variables, and in these cases  $\Gamma_{\mathbf{q}}(M)$  defined using, say, a sequence of variables  $v_1^{\tau_1}, \dots, v_k^{\tau_k}$  distinct from  $\mathbf{q}$  would be a different morphism in  $\mathcal{L}$ .

**Lemma 1.10.**  $\Gamma_{\mathbf{q}}$  is a functor  $\mathcal{L} \longrightarrow \mathcal{L}_Q$ .

With the same notation as in Definition 1.8:

**Definition 1.11.** For a type  $\rho$  we define  $\mathcal{U}_{\rho}^{\mathbf{q}} \in \mathcal{L}(\Gamma_{\mathbf{q}}\rho, \rho)$  by

$$(1.10) \quad \mathcal{U}_{\rho}^{\mathbf{q}} = \lambda U^{\Gamma_{\mathbf{q}}\rho} . (\dots ((Uq_1)q_2) \dots q_k) .$$

Once again, it is significant that we use the sequence of variables  $\mathbf{q}$  to form this term, and not arbitrary variables of the same type. The special case is  $\mathcal{U}_{\mathbf{1}}^{\mathbf{q}} \in \mathcal{L}(\Gamma_{\mathbf{q}}\mathbf{1}, \mathbf{1}) = \mathcal{L}(\mathbf{1}, \mathbf{1})$  given by  $\mathcal{U}_{\mathbf{1}}^{\mathbf{q}} = \star$ .

**Proposition 1.12.** Given types  $\tau_1, \dots, \tau_k, \sigma, \rho$  and a permutation  $\theta \in S_k$ , the term

$$P_{\theta} : (\tau_1 \rightarrow \dots \rightarrow \tau_k \rightarrow \rho) \rightarrow (\tau_{\theta(1)} \rightarrow \dots \rightarrow \tau_{\theta(k)} \rightarrow \rho)$$

$$P_{\theta} = \lambda U^{\tau_1 \rightarrow \dots \rightarrow \tau_k \rightarrow \rho} v_1^{\tau_{\theta(1)}} v_2^{\tau_{\theta(2)}} \dots v_k^{\tau_{\theta(k)}} . (\dots ((Uv_{\theta^{-1}(1)})v_{\theta^{-1}(2)}) \dots v_{\theta^{-1}(k)})$$

is an isomorphism in  $\mathcal{L}$  between the objects

$$(\tau_1 \rightarrow \dots \rightarrow \tau_k \rightarrow \rho) \cong (\tau_{\theta(1)} \rightarrow \dots \rightarrow \tau_{\theta(k)} \rightarrow \rho) .$$

With the notation of the proposition:

**Corollary 1.13.** There is a bijection

$$\Lambda_{\tau_1 \rightarrow \dots \rightarrow \tau_k \rightarrow \rho} / \equiv_{\beta\eta} \xrightarrow{\cong} \Lambda_{\tau_{\theta(1)} \rightarrow \dots \rightarrow \tau_{\theta(k)} \rightarrow \rho} / \equiv_{\beta\eta} .$$

*Proof.* We have, by the proposition

$$\begin{aligned} \Lambda_{\tau_1 \rightarrow \dots \rightarrow \tau_k \rightarrow \rho} / \equiv_{\beta\eta} &= \mathcal{L}(\mathbf{1}, \tau_1 \rightarrow \dots \rightarrow \tau_k \rightarrow \rho) \\ &\cong \mathcal{L}(\mathbf{1}, \tau_{\theta(1)} \rightarrow \dots \rightarrow \tau_{\theta(k)} \rightarrow \rho) \\ &= \Lambda_{\tau_{\theta(1)} \rightarrow \dots \rightarrow \tau_{\theta(k)} \rightarrow \rho} / \equiv_{\beta\eta} . \end{aligned}$$

□

## 1.1 Structural rules and monads

As above, let  $\mathcal{L}_{cl}$  denote the category of closed lambda terms. Throughout this section,  $A \subseteq Y$  is finite and so there is a right adjoint  $\Gamma_{\mathbf{a}}$  to the inclusion  $I$  for any ordering  $\mathbf{a}$  of  $A$ :

$$(1.11) \quad \mathcal{L}_{cl} \begin{array}{c} \xrightarrow{I} \\ \xleftarrow{\Gamma_{\mathbf{a}}} \end{array} \mathcal{L}_A .$$

**Definition 1.14.** Denote by  $T_{\mathbf{a}}$  the composition  $\Gamma_{\mathbf{a}} \circ I$  on  $\mathcal{L}_{cl}$ .

In the case where  $\mathbf{a} = \{x : \alpha\}$  we define the monad  $T_{\mathbf{a}}$  to have multiplication  $\mu$  given by

$$\mu_{\sigma} = \lambda u^{\alpha \rightarrow (\alpha \rightarrow \sigma)} x^{\alpha} . ((ux)x) : (\alpha \rightarrow (\alpha \rightarrow \sigma)) \rightarrow (\alpha \rightarrow \sigma)$$

and unit  $\xi$  given by

$$\xi_{\sigma} = \lambda w^{\sigma} x^{\alpha} . w : \sigma \rightarrow (\alpha \rightarrow \sigma) .$$

Let  $\mathbf{a}, \mathbf{b}$  be *disjoint* finite ordered sets of variables, and  $T_{\mathbf{a}}, T_{\mathbf{b}}$  the associated monads on  $\mathcal{L}_{cl}$ . There is a distributive law between these two monads, and their composition as functors is therefore naturally equipped with the structure of a monad. For simplicity, we write down the propositions only in the case where  $\mathbf{a} = \{x : \alpha\}$  and  $\mathbf{b} = \{y : \beta\}$  are singletons.

**Lemma 1.15.** *With the induced monad structure the composite  $T_{\mathbf{a}}T_{\mathbf{b}}$  is isomorphic, as a monad, to  $T_{\mathbf{a}:\mathbf{b}}$  where  $\mathbf{a} : \mathbf{b}$  denotes concatenation of sequences.*

## 2 Questions

**Question 1.** *Prove Lemma 1.4, you may use Lemma 1.2 in your proof.*

**Question 2.** *Prove that  $\mathcal{U}^{\mathbf{q}}$  is a natural transformation  $I_Q \circ \Gamma_{\mathbf{q}} \longrightarrow 1_{\mathcal{L}}$  in the special case where  $\mathbf{q} = \{q : \tau\}$ .*

### 2.1 Extension questions (requires adjoints and monads)

**Question 3.** *Prove that  $\Gamma_{\mathbf{q}}$  is right adjoint to  $I_Q$  with counit  $\mathcal{U}^{\mathbf{q}}$  by showing that for types  $\sigma, \rho$  there are natural bijections*

$$(2.1) \quad \mathcal{L}(\sigma, \rho) = \mathcal{L}(I_Q(\sigma), \rho) \cong \mathcal{L}_Q(\sigma, \Gamma_{\mathbf{q}}\rho) ,$$

$$(2.2) \quad \mathcal{L}(\mathbf{1}, \rho) = \mathcal{L}(I_Q(\mathbf{1}), \rho) \cong \mathcal{L}_Q(\mathbf{1}, \Gamma_{\mathbf{q}}\rho) .$$

*You can use Corollary 1.13 in your proof.*

**Question 4.** *Prove that the monads  $T_{\mathbf{a}}, T_{\mathbf{b}}$  admit a distributive law*

$$\begin{aligned} \chi : T_{\mathbf{a}}T_{\mathbf{b}} &\longrightarrow T_{\mathbf{b}}T_{\mathbf{a}} \\ \chi_{\sigma} &= \lambda z^{\alpha \rightarrow (\beta \rightarrow \sigma)} y^{\beta} x^{\alpha} . ((zx)y) . \end{aligned}$$

## A Background on lambda calculus

**Definition A.1.** Let  $\mathcal{V}$  be a (countably) infinite set of variables, and let  $\mathcal{L}$  be the language consisting of  $\mathcal{V}$  along with the special symbols

$$\lambda \quad . \quad ( \quad )$$

Let  $\mathcal{L}^*$  be the set of words of  $\mathcal{L}$ , more precisely, an element  $w \in \mathcal{L}^*$  is a finite sequence  $(w_1, \dots, w_n)$  where each  $w_i$  is in  $\mathcal{L}$ , for convenience, such an element will be written as  $w_1 \dots w_n$ . Now let  $\Lambda'$  denote the smallest subset of  $\mathcal{L}^*$  such that

- if  $x \in \mathcal{V}$  then  $x \in \Lambda'$ ,
- if  $M, N \in \Lambda'$  then  $(MN) \in \Lambda'$ ,
- if  $x \in \mathcal{V}$  and  $M \in \Lambda'$  then  $(\lambda x.M) \in \Lambda'$

$\Lambda'$  is the set of **preterms**. A preterm  $M$  such that  $M \in \mathcal{V}$  is a **variable**, if  $M = (M_1 M_2)$  for some preterms  $M_1, M_2$ , then  $M$  is an **application**, and if  $M = (\lambda x.M')$  for some  $x \in \mathcal{V}$  and  $M' \in \Lambda'$  then  $M$  is an **abstraction**.

**Definition A.2.** *Single step  $\beta$ -reduction*  $\rightarrow_\beta$  is the smallest relation on  $\Lambda$  satisfying:

- the **reduction axiom**:
  - for all variables  $x$  and  $\lambda$ -terms  $M, M'$ ,  $(\lambda x.M)M' \rightarrow_\beta M[x := M']$ , where  $M[x := M']$  is the term given by replacing every free occurrence of  $x$  in  $M$  with  $M'$ ,
- the following **compatibility axioms**:
  - if  $M \rightarrow_\beta M'$  then  $(MN) \rightarrow_\beta (M'N)$  and  $(NM) \rightarrow_\beta (NM')$ ,
  - if  $M \rightarrow_\beta M'$  then for any variable  $x$ ,  $\lambda x.M \rightarrow_\beta \lambda x.M'$ .

A subterm of the form  $(\lambda x.M)M'$  is a  **$\beta$ -redex**, and  $(\lambda x.M)M'$  **single step  $\beta$ -reduces** to  $M'$ .

**Definition A.3.** *Multi step  $\beta$ -reduction*  $\twoheadrightarrow$  (or simply  **$\beta$ -reduction**) is the smallest relation on  $\Lambda$  satisfying

- the **reduction axiom**:
  - if  $M \rightarrow_\beta M'$  then  $M \twoheadrightarrow M'$ ,
- **reflexivity**:
  - if  $M = M'$  then  $M \twoheadrightarrow M'$ ,

- **transitivity:**

– if  $M_1 \rightarrow M_2$  and  $M_2 \rightarrow M_3$  then  $M_1 \rightarrow M_3$

If  $M \rightarrow M'$ , then  $M$  **multistep  $\beta$ -reduces** to  $M[x := M']$ .

The reflexive, symmetric closure of multistep  $\beta$ -reduction is  **$\beta$ -equivalence**. That is, the smallest relation containing multi step  $\beta$ -reduction which is reflexive and symmetric.

There is also  $\eta$ -expansion, which is defined similarly, we are more terse in Definition A.4 than in Definition A.3.

**Definition A.4. Single step  $\eta$ -expansion**  $\rightarrow_\eta$  is the smallest, compatible relation on  $\Lambda$  satisfying:

$$(A.1) \quad M \rightarrow_\eta \lambda x. Mx$$

where  $x$  is a variable not in the free variable set of  $M$ . **Multi step  $\eta$ -expansion** is the reflexive closure of single step  $\eta$ -expansion.  **$\eta$ -equivalence** is the reflexive, symmetric symmetric closure of multi step  $\eta$ -expansion.

**$\beta\eta$ -equivalence** is the union of  $\eta$ -equivalence and  $\beta$ -equivalence.

In the simply-typed lambda calculus [1, Chapter 3] there is an infinite set of **atomic types** and the set  $\Phi_\rightarrow$  of **simple types** is built up from the atomic types using  $\rightarrow$ . Let  $\Lambda'$  denote the set of untyped lambda calculus preterms in these variables, as defined in [1, Chapter 1]. We define a subset  $\Lambda'_{wt} \subseteq \Lambda'$  of **well-typed** preterms, together with a function  $t : \Lambda'_{wt} \rightarrow \Phi_\rightarrow$  by induction:

- all variables  $x : \sigma$  are well-typed and  $t(x) = \sigma$ ,
- if  $M = (PQ)$  and  $P, Q$  are well-typed with  $t(P) = \sigma \rightarrow \tau$  and  $t(Q) = \sigma$  for some  $\sigma, \tau$  then  $M$  is well-typed and  $t(M) = \tau$ ,
- if  $M = \lambda x. N$  with  $N$  well-typed, then  $M$  is well-typed and  $T(M) = t(x) \rightarrow t(N)$ .

We define  $\Lambda'_\sigma = \{M \in \Lambda'_{wt} \mid t(M) = \sigma\}$  and call these **preterms of type  $\sigma$** . Next we observe that  $\Lambda'_{wt} \subseteq \Lambda'$  is closed under the relation of  $\alpha$ -equivalence on  $\Lambda'$ , as long as we understand  $\alpha$ -equivalence type by type, that is, we take

$$\lambda x. M =_\alpha \lambda y. M[x := y]$$

as long as  $t(x) = t(y)$ . Denoting this relation by  $=_\alpha$ , we may therefore define the sets of **well-typed lambda terms** and **well-typed lambda terms of type  $\sigma$** , respectively:

$$(A.2) \quad \Lambda_{wt} = \Lambda'_{wt} / =_\alpha$$

$$(A.3) \quad \Lambda_\sigma = \Lambda'_\sigma / =_\alpha .$$

Note that  $\Lambda_{wt}$  is the disjoint union over all  $\sigma \in \Phi_\rightarrow$  of  $\Lambda_\sigma$ . We write  $M : \sigma$  as a synonym for  $[M] \in \Lambda_\sigma$ , and call these equivalence classes **terms of type  $\sigma$** . Since terms are, by definition,  $\alpha$ -equivalence classes, the expression  $M = N$  henceforth means  $M =_\alpha N$  unless indicated otherwise. We denote the set of free variables of a term  $M$  by  $FV(M)$ .

**Definition A.5.** The substitution operation on lambda terms is a family of functions

$$\{ \text{subst}_\sigma : Y_\sigma \times \Lambda_\sigma \times \Lambda_{wt} \longrightarrow \Lambda_{wt} \}_{\sigma \in \Phi_{\rightarrow}}$$

We write  $M[x := N]$  for  $\text{subst}_\sigma(x, N, M)$  and this term is defined inductively (on the structure of  $M$ ) as follows:

- if  $M$  is a variable then either  $M = x$  in which case  $M[x := N] = N$ , or  $M \neq x$  in which case  $M[x := N] = M$ .
- if  $M = (M_1 M_2)$  then  $M[x := N] = (M_1[x := N] M_2[x := N])$ .
- if  $M = \lambda y.L$  we may assume by  $\alpha$ -equivalence that  $y \neq x$  and that  $y$  does not occur in  $N$  and set  $M[x := N] = \lambda y.L[x := N]$ .

Note that if  $x \notin \text{FV}(M)$  then  $M[x := N] = M$ .

## References

- [1] M. Sørensen and P. Urzyczyn, *Lectures on the Curry-Howard isomorphism*, Studies in Logic and the Foundations of Mathematics Vol. 149, Elsevier New York, (2006).
- [2] W. Troiani, *An Introduction to the Untyped  $\lambda$ -Calculus and the Church-Rosser Theorem*, <https://williamtroiani.github.io/pdfs/ChurchRosserTheorem.pdf>